

A service provider that provides fiduciary services to the plan must acknowledge its fiduciary status in its 408(b)2 disclosure document.

If a service provider does not acknowledge that it is a fiduciary in its 408(b)2 disclosure statement and the plan fiduciary believes the service provider **is** a fiduciary, the plan fiduciary should send a letter to the service provider asking it to confirm or deny its fiduciary status. (Such letters are included with the download of documents created on this site.)